

## Message Text

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INFO OCT-01 ISO-00 CIAE-00 PM-05 INR-07 L-03 ACDA-07  
NSAE-00 PA-01 SS-15 PRS-01 SP-02 USIA-06 TRSE-00  
NSC-05 OMB-01 /066 W  
-----051086 231118Z /10

R 231034Z JUL 77  
FM USMISSION NATO  
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SECDEF WASHDC  
INFO AFSC ANDREWS AFB MD  
ESD HANSCOM AFB MA  
ALL NATO CAPITALS  
CINCLANT NORFOLK VA  
USLOSACLANT NORFOLK VA  
CINCUSAFE RAMSTEIN AB GER  
USNMR SHAPE BE  
USCINCEUR VAIHINGEN GER  
CSAF WASHDC  
JCS WASHDC  
OSAF WASHDC

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SECDEF FOR ODDR&E/IP/TWP, OASD/ISA. SECSTATE FOR EUR/RPM.  
CSAF FOR RD/XO/RDI/ATF/RDPW/LG. OSAF FOR SAFRD AND SAFAL.  
AFSC FOR SD. ESD FOR YWT. USCINCEUR FOR J-5. EMBASSY  
THE HAGUE FOR RADLO (MIRMAN).

E.O. 11652: GDS  
TAGS: OCON, NATO, MILI  
SUBJECT: 28TH MEETING OF THE CNAD HLG ON AIRBORNE EARLY  
WARNING, JULY 19-20, 1977  
SUMMARY: THE CNAD HLG DISCUSSED: (1) NAPO PERSONNEL, (2)  
NATO/US COMMON E-3A CONFIGURATION, (3) CANDIDATE MAIN OPER-  
ATING BASE (MOB) SURVEYS, (4) ECM-RESISTANT COMMUNICATIONS  
SYSTEMS, (5) CONTRACTS AND FINANCES, (6) STATEMENT OF UNDER-  
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STANDING AMENDMENT, (7) GROUND ENVIRONMENT INTERFACE CON-  
TRACTUAL ACTIVITIES, (8) AEW FREQUENCY COMPATIBILITY, (9)  
CLASSIFIED INFORMATION GUIDELINES, (10) A STAND-ALONE CON-  
TRACT FOR THE AWACS FLIGHT SIMULATOR AND (11) SCHEDULE FOR  
FUTURE MEETINGS. END SUMMARY.

1. THE CNAD HLG ON AIRBORNE EARLY WARNING HELD ITS 28TH

MEETING AT NATO HQ ON JULY 19-20, 1977. LTC J. WANAMAKER AND LTC J. MYER, HQ USAF, REPRESENTED THE UNITED STATES. HIGHLIGHTS OF THE MEETING PREPARED BY LTC MYER FOLLOW.

2. NAPO PERSONNEL. THE FRG OFFERED CANDIDATES FOR THE POSTS OF PROGRAM MANAGER, DATA DISTRIBUTION, TECHNICAL ENGINEER, AND GROUND ENVIRONMENT INTEGRATION CONTRACTS. COMMENT: THESE ARE KEY NAPO STAFF POSITIONS AND REFLECT A STRONG EXPRESSION OF GERMAN INTEREST IN THE PROGRAM. END COMMENT. THE UK MAY STILL EXTEND THE CURRENT PROGRAM MANAGER'S TOUR UNTIL END-1977, IF NECESSARY, AND MAY PROVIDE TWO OFFICERS FOR INTEROPERABILITY TASKS. BELGIUM OFFERED ONE OFFICER, AND THE NETHERLANDS CONFIRMED THEIR PREVIOUS OFFER OF ONE OFFICER. CANADA CONFIRMED THAT A LOGISTICS OFFICER AND A DEPUTY CHIEF OF THE MILITARY FACTORS DIVISION WERE EN ROUTE, AND ANNOUNCED THEY WERE CONSIDERING A CANDIDATE FOR PROGRAM MANAGER.

3. NATO/US COMMON E-3A CONFIGURATION. THE PROGRAM MANAGER REPORTED THAT COMMON CONFIGURATION TASKS WOULD BE INCLUDED IN THE NATO REQUEST FOR PROPOSAL (RFP) WHICH WILL BE ISSUED IN EARLY AUG 77 TO THE USG/BOEING. THE US REP CONFIRMED THAT A PARALLEL/ENGINEERING CHANGE PROPOSAL (ECP) WOULD BE SOUGHT FOR THE E-3A PROGRAM, SO THAT A FULL ASSESSMENT OF COMMONALITY IMPACTS COULD BE MADE. IN ANSWER TO THE FRG'S QUESTION ABOUT EARLY TECHNICAL AND COST INFORMATION TO JUS-CONFIDENTIAL

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TIFY EARLY DEVELOPMENTAL FUNDING COMMITMENTS, THE US REP STATED THAT THE USG WAS UNABLE TO DEVELOP MORE ACCURATE INFORMATION THAN PREVIOUSLY PROVIDED WITHOUT FULL CONTRACTOR INVOLVEMENT, AND THAT SUCH DATA WOULD HAVE TO AWAIT COMPLETION OF RFP/ECP ACTIVITY ANTICIPATED BY 1 NOV 77.

COMMENT: AS COMMON DATA PROCESSING OPTIONS WILL ADDRESS A POTENTIAL 1 JAN 78 PLANNING START, ANY REQUESTS FOR PREPRODUCTION FUNDING, CONTINGENT UPON DEFENSE MINISTERIAL AGREEMENT, WILL HAVE TO BE EXPEDITED DURING THE WINTER PERIOD. END COMMENT.

4. CANDIDATE MAIN OPERATING BASE (MOB) SURVEYS. THE NAPO PROVIDED A STATUS BRIEFING OF THE SUBGROUP 1/SUBGROUP 5 JOINT TASK GROUP'S (JTG) ACTIVITIES, AND ANNOUNCED THAT THE JTG WILL BEGIN SITE SURVEYS THE WEEK OF 25 JULY. NO NEW MOB CANDIDATES HAVE BEEN OFFERED. THE HLG FORWARDED TENTATIVE MOB APPROVAL CRITERIA (WHICH INCLUDE MILITARY, ECONOMIC AND POLITICAL FACTORS AS WELL AS SURVEY DATA) TO NATO MILITARY AUTHORITIES FOR REVIEW. NATIONS WILL BE ASKED AT THE NEXT HLG MEETING TO STATE HOW THE MOB SHOULD BE SELECTED. ACTUAL MOB SELECTION IS PLANNED FOR 1 NOV 77.

5. AD HOC GROUP ON ERCS. THE AD HOC GROUP ON ECM-RESIST-

ANT COMMUNICATIONS SYSTEMS (AHG ON ERCS) REPORTED AGREEMENT THAT JTIDS (TESTED AT BOEING IN MODE I, WAVEFORM A) AND MACS (TESTED AT SHAPE TECHNICAL CENTER (STC) USING SIGNAL PROCESSING ONLY) SHOULD INCUR NO MULTIPATH DEGRADATION. WHEN THE FRG SAID NO DECISION ON ERCS FOR NATO AWACS COULD BE MADE UNTIL STC COMPLETES BENCH-TESTING OF JTIDS (TO MATCH THEIR ALREADY-COMPLETED TESTING OF MACS), THE US SPO REP SUGGESTED THAT, IN VIEW OF JTIDS' FLIGHT-TEST STATUS VS MACS' EARLY-DEVELOPMENTAL STATUS, SUFFICIENT DATA COULD BE CORRELATED TO SUPPORT A DECISION. THE SHAPE REP REEM-

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NSC-05 OMB-01 /066 W

-----051259 231127Z /16

R 231034Z JUL 77

FM USMISSION NATO

TO SECSTATE WASHDC 5054

SECDEF WASHDC

INFO AFSC ANDREWS AFB MD

ESD HANSCOM AFB MA

ALL NATO CAPITALS

CINCLANT NORFOLK VA

USLOSACLANT NORFOLK VA

CINCUSAFE RAMSTEIN AB GER

USNMR SHAPE BE

USCINCEUR VAIHINGEN GER

CSAF WASHDC

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PHASIZED THE NECESSITY FOR ERCS IN NATO AEW. THE NAPO AFFIRMED THAT REGARDLESS OF WHETHER A SPECIFIC SYSTEM CAN BE RECOMMENDED TO MINISTERS IN DECEMBER, ERCS WILL CONTINUE TO BE ADDRESSED WITHIN THE PROGRAM.

6. SUBGROUP 3 ACTIVITIES. THE NAPO REPORTED THAT SUBGROUP 3 ON CONTRACTS AND FINANCES (SG-3) DOES NOT EXPECT TO RESOLVE ISSUES CONNECTED WITH THE MULTILATERAL AND BILATERAL MEMORANDA OF UNDERSTANDING (MMOU AND BMOU). SPECIFIC

AREAS OF CONCERN INCLUDE: USG FOREIGN MILITARY SALES (FMS) REQUIREMENTS VS. THOSE OF A "COLLABORATIVE" PROGRAM, WITH SPECIFIC REGARD TO AUDIT REQUIREMENTS/PROCEDURES; APPLICATION OF COST ACCOUNTING STANDARDS (CAS); AND THE US PRE-CONFIDENTIAL

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REFERENCE FOR A LETTER OF OFFER AND ACCEPTANCE (LOA) VS. THE ALLIES' PREFERENCE FOR A BMOU AS THE DOCUMENT SETTING FORTH US/SELLER-NATO/BUYER RELATIONSHIPS AND CONDITIONS FOR THE PROCUREMENT OF THE AWACS SYSTEM.

- A. FMS VS COLLABORATIVE PROGRAM. SINCE ASYG(DS)LABERGE HAS MADE NO PROGRESS IN DISCUSSIONS WITH THE USG ON THIS ISSUE, IT WILL BE REFERRED TO NATIONAL FINANCIAL EXPERTS.

- B. AUDIT. THE HLG (WITH THE US ABSTAINING) DIRECTED THE NAPO TO ASK THE USG TO WAIVE GENERAL ACCOUNTING OFFICE (GAO) AUDIT REQUIREMENTS. THE US REP POINTED OUT THAT NO FAVORABLE RESPONSE COULD BE PREDICTED AS THESE ISSUES WENT BEYOND THE PURVIEW OF THE USG EXECUTIVE BRANCH, AND THAT THIS COULD LEAD TO A REVIEW OF PREVIOUS UNDERSTANDINGS WITH REGARD TO AUDITS PERFORMED BY INDIVIDUAL NATIONS. COMMENT: SUCH AUDIT REQUIREMENTS WILL ONLY BE NECESSARY FOR NON-US SUBCONTRACTS ARISING FROM INDUSTRIAL COLLABORATION. IF THE ITEMS ARE NOT CO-PRODUCED, AUDIT REQUIREMENTS VANISH ACCORDINGLY. END COMMENT.

- C. LOA VS BMOU. THE HLG ADOPTED A US SUGGESTION THAT, IN VIEW OF THE CONTINUING IMPASSE OVER THIS ITEM AND IN THE INTEREST OF ELIMINATING ONE DOCUMENT FROM THE SYSTEM PROCUREMENT PROCESS, SG.3 SHOULD TRY TO DEVELOP A CONSOLIDATED DOCUMENT THAT WOULD INCLUDE THE ESSENTIAL REQUIREMENT OF BOTH PARTIES, WHILE ELIMINATING SPECIFIC FMS TERMINOLOGY OBJECTED TO BY SOME NATIONS. AT THE SAME TIME, THE HLG DIRECTED SG.3 TO COMPLETE A DRAFT BMOU BY 1 OCT 77, IN CASE IT SHOULD STILL BE REQUIRED. COMMENT: THE FRG SG.3 REP WAS QUERIED PRIVATELY ABOUT HIS STATEMENTS IN SG.3 CONCERNING US "COMPENSATION" TO ALL ALLIED PARTICIPANTS IN THE NATO AEW PROGRAM TO THE EXTENT OF THEIR FINANCIAL CONTRIBUTIONS, AND THAT THESE PROBLEMS WOULD GO AWAY IF THE US

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BOUGHT THE GERMAN TANK GUN. DRAWING UPON SECRETARY BROWN'S STATEMENTS AT THE MARCH MINISTERIAL, AND STATE 120386, 250212ZMAY77, THE US REP POINTED OUT THAT NO ALLY SHOULD HAVE TO BE "COMPENSATED" FOR PROCURING A SOLUTION TO ITS OWN DEFENSE; THAT THIS ISSUE WAS POTENTIALLY DIVISIVE AT A

CRITICAL TIME, AND THAT FRG CONCERNS COULD BETTER BE ADDRESSED IN A BILATERAL VICE NATO FORUM. THE FRG REP NOTED THESE POINTS BUT SAID HE AWAITED NATIONS' REACTIONS TO HIS POINTS ABOUT COMPENSATION. THESE ISSUES WERE NOT RAISED IN THE HLG, BUT WILL, OF COURSE, CONTINUE TO AFFECT SG.3 DELIBERATIONS AND WERE MEANT TO PUT FRG CONCERNS UPON THE TABLE IN PREPARATION FOR THE NEGOTIATIONS AMONG FINANCIAL EXPERTS. END COMMENT.

7. SOU AMENDMENT. THE HLG ACCEPTED THE US-PROPOSED MODIFICATION TO THE AMENDMENT TO THE PREPARATORY PHASE STATEMENT OF UNDERSTANDING (SOU) WHICH ADDS THE WORDS THAT PREFINANCING AMOUNTS WOULD BE "SUBJECT TO THE LEGAL AVAILABILITY OF THE FUNDS FROM THE NATIONS CONCERNED." THE HLG WILL INCLUDE IN ITS DECISION SHEET THE UK REQUEST THAT NEW SHARING ARRANGEMENTS BE REVIEWED IN DEC 77, FOLLOWING THE MINISTERIAL. COMMENT: THE UK'S CONCERN IS THAT, SHOULD

THE GROUND ENVIRONMENT INTEGRATION PORTION OF THE PROGRAM NOT PROCEED SATISFACTORILY, THEY MAY HAVE TO PURSUE A NATIONAL SOLUTION IN THIS AREA AS WELL AS IN THE AIRCRAFT PORTION. END COMMENT. THE SOU AMENDMENT WAS SIGNED BY THE UK AND FRG REPS TO THE HLG, ON THE COPIES PREVIOUSLY SIGNED BY THE DDR&E, DR. PERRY.

8. SUBGROUP 2 ACTIVITIES. IN REPORTING THE STATUS OF GROUND ENVIRONMENT INTERFACE (GEI) CONTRACTUAL ACTIVITIES,

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TO SECSTATE WASHDC 5055  
SECDEF WASHDC  
INFO AFSC ANDREWS AFB MD  
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USCINCEUR VAIHINGEN GER  
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THE NAPO NOTED THAT EUTRONIC HAD PROVIDED TO DATE ONLY THE TECHNICAL PORTIONS OF THE CONTRACTOR-CONDUCTED COMPETITION (CCC, OR SOLE-SOURCE) APPROACH TO GEI PROCUREMENT, BUT THAT THE REST SHOULD BE PROVIDED BY END-JULY. THE SPECIAL TASK GROUP UNDER SG.2 HAD COMPLETED THE RFP FOR THE INTER-NATIONAL COMPETITIVE BIDDING (ICB) APPROACH, AND PROVIDED IT TO SHAPE FOR REVIEW. NATIONAL COMMENTS WILL BE SOUGHT ON THE DOCUMENTATION FOR BOTH APPROACHES IN TIME TO SUPPORT A HLG DECISION PRIOR TO THE DECEMBER MINISTERIAL MEETING ON EITHER THE CCC OR ICB APPROACH.

9. STC ASSISTANCE FOR GEI CONTRACTUAL ACTIVITY. THE HLG AGREED TO A LONG-TERM ARRANGEMENT WITH THE SHAPE TECHNICAL CONFIDENTIAL

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CENTER (STC) TO MONITOR GEI CONTRACTOR ACTIVITIES, AND TO PURSUE NAPO-SHAPE DISCUSSIONS. IN THE SHORT TERM, THE FRG REP SUGGESTED THAT STC VALIDATE THE CURRENT HYBRID APPROACH TO GEI IN ORDER TO ASSESS RISKS AND/OR PROVIDE ALTERNATIVES FOR IMPLEMENTATION WITHIN THE ACCEPTED HYBRID APPROACH. THE HLG ACCORDINGLY ASKED THAT STC PROVIDE SCUH ASSISTANCE AS POSSIBLE IN CONJUNCTION WITH REVIEWS BY THE NATO PROGRAMMING CENTER, SHAPE, NAPO, AND NATIONS.

10. NATO AEW FREQUENCY COMPATABILITY. FOLLOWING A NAPO STATUS BRIEFING, THE FRG REP STATED THAT FREQUENCY APPROVAL FOR ALL NATO AWACS EMITTERS WOULD HAVE TO BE OBTAINED FROM ALL AFFECTED EUROPEAN NATIONS, INCLUDING NEUTRAL NATIONS, BEFORE A PROGRAM DECISION COULD BE MADE. THE NAPO MANAGER CHALLENGED THIS VIEW, SUGGESTED THAT TREATMENT OF THIS ISSUE WOULD BE ADEQUATE TO SUPPORT A MINISTERIAL DECISION, AND URGED NATIONS TO EXPEDITE PROCESSING OF NATO AWACS SYSTEM FREQUENCY REQUESTS UPON RECEIPT. AS THE NAPO HAD JUST RECEIVED US AUTHORITY TO RELEASE PREVIOUSLY PROVIDED FREQUENCY INFORMATION, THEY PLANNED TO SUBMIT FORMAL FREQUENCY REQUESTS TO ARFA FOR ALL NATO SYSTEM AVIONICS (FOR THE RADAR AS SOON AS POSSIBLE AND FOR JTIDS AS SOON AS THE US'S ELECTROMAGNETIC COMPATIBILITY ANALYSIS CENTER (ECAC) ELECTROMAGNETIC COMPATIBILITY (EMC) STUDY WAS RECEIVED -- EXPECTED BY END-SEPTEMBER). THE HLG ENDORSED NAPO PLANS

FOR EARLY SUBMISSION OF FREQUENCY REQUESTS, REQUESTED INCORPORATION OF NATO AWACS FREQUENCY APPROVAL MILESTONES INTO THE PREPARATORY PHASE PROGRAM DECISION/MILESTONE CHART, AND ASKED FOR EXPERT US ASSISTANCE IN GAINING NATIONAL FREQUENCY APPROVALS. THE US REP AGREED TO ADDRESS PROVISION OF SERVICE AND/OR CONTRACTOR BRIEFERS TO ANSWER ANY AWACS FREQUENCY QUESTIONS FROM EUROPEAN CLEARANCE AUTHORITIES SHOULD THIS BE REQUESTED. COMMENT: THIS ACTIVITY WILL  
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INVOLVE NATO AWACS FREQUENCY APPLICATIONS ONLY; US E-3A FREQUENCY APPLICATIONS (FOR PRIMARY/PERMANENT OPERATIONAL USE, VICE SECONDARY/TEMPORARY DEMONSTRATION ACTIVITY AS PLANNED TO DATE) WILL PROCEED INDEPENDENTLY. END COMMENT.

11. ANY OTHER BUSINESS.

- A. TO EASE THE PROGRAM MANAGEMENT AND CONTRACTUAL ACTIVITIES ASSOCIATED WITH ACQUISITION OF A NATO AWACS FORCE, THE HLG AGREED TO DECLASSIFY REFERENCES TO THE NUMBER OF AIRCRAFT TO BE PROCURED, ALTHOUGH SUCH MILITARY ASPECTS AS REQUIREMENTS, CAPABILITIES, ETC, WOULD REMAIN CLASSIFIED.

- B. FOLLOWING A CANADIAN REQUEST, THE HLG AGREED TO HAVE THE NAPO AND THE USG INVESTIGATE POTENTIAL COST SAVINGS AND PROCEDURAL IMPACTS INCURRED IF A CANADIAN FIRM (CAE ELECTRONICS) WERE AWARDED A STAND-ALONE CONTRACT FOR THE AWACS FLIGHT SIMULATOR THROUGH EITHER A CANADIAN OR GERMAN GOVERNMENTAL AGENCY, RATHER THAN BY BOEING UNDER US FMS PROCEDURES. COMMENT: A POTENTIAL USG CONCERN IS THAT, BY REMOVING THIS ITEM FROM THE FMS-CONTROLLED ASPECTS OF THE PROGRAM, A PRECEDENT MIGHT BE SET FOR FURTHER PIECEMEAL APPROACHES TO INDUSTRIAL COLLABORATION OPTIONS, WHILE ADDING TO FMS/LOA PROCEDURAL DIFFICULTIES AND/OR CONFIGURATION MANAGEMENT REQUIREMENTS. END COMMENT.

12. NEXT MEETING(S). THE HLG WILL HOLD ITS NEXT MEETING (HLG-29) ON 7/8 SEP 77 (VICE 24/25 AUG 77). SG.3 WILL BE INVITED TO HOLD ITS NEXT MEETING ON 24/25 AUG 77 (VICE EARLY SEPTEMBER). THE FIRST FINANCIAL EXPERTS MEETING IS PROPOSED FOR 21/22 SEPTEMBER, WITH A SECOND MEETING IN MID-OCTOBER AND A THIRD MEETING O/A 1 NOVEMBER. PEREZ

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## Message Attributes

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**Enclosure:** n/a  
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NAPO PERSONNEL, (2)  
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**Type:** TE  
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**Review Markings:**  
Margaret P. Grafeld  
Declassified/Released  
US Department of State  
EO Systematic Review  
22 May 2009  
**Markings:** Margaret P. Grafeld Declassified/Released US Department of State EO Systematic Review 22 May 2009